

ATTORNEY'S DOCKET NO.:  
020431.1026

PATENT



Express Mail No.: EL 759103622 US

PATENT APPLICATION

Attorney Docket No.: 020431.1026

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 C.F.R. § 1.53(b)

Box: Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

Inventor or Application Identifier:

**Chethan (nmi) Gorur, et al.**

Transmitted herewith for filing is the patent application entitled:

**Customized State Machine and State Aggregation Technique for Processing  
Collaborative and Transactional Business Objects**

Enclosed are:

- ☒ Specification, Claims and Abstract ( 31 Total Pages)  
☒ Drawing(s) ( 2 Total Sheet(s) of      Formal ☒ Informal)  
☒ Combined Declaration and Power of Attorney ( 3 Total Pages)  
☒ Newly executed (original or copy)

☒ An Assignment of the invention to i2 Technologies US, Inc. is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).

☒ Certificate of Mailing ( 1 page)

☒ Return Receipt Postcard

     Information Disclosure Statement (IDS) with PTO-1449

     Copies of IDS Citations.

☒ Non-Publication Request Under 35 U.S.C. § 122(b)(2)(B)(i) (1 page);

     Other

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Applicant is:

☒ Large Entity  
☐ Small Entity

The accompanying application is:

☒ Original  
☐ Continuation ☐ Divisional ☐ Continuation-In-Part  
☐ (CIP) of prior application No. \_\_\_\_\_ which is hereby incorporated by  
reference therein.

FEE CALCULATION (LARGE ENTITY)					FEE
	Number		Number Extra	Rate	<u>Basic Fee</u> \$740.00
Total Claims:	40	-20 =	20	X \$18.00	360.00
Independent Claims	76	- 3 =	4	X \$84.00	336.00
TOTAL FILING FEE =					\$1,436.00

☒ Please charge \$1,436.00 to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.  
**A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

BAKER BOTTS L.L.P.



Christopher W. Kennerly  
Reg. No. 40,675  
Attorneys for Applicants

Dated: October 15, 2001

Correspondence Address:

☒ Customer Number or Bar Code Label





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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc996 U.S. PTO  
09/978277  
10/15/01

In re Application of: Chethan (nmi) Gorur, et al.  
Filing Date: October 15, 2001  
Title: CUSTOMIZED STATE MACHINE AND STATE  
AGGREGATION TECHNIQUE FOR PROCESSING  
COLLABORATIVE AND TRANSACTIONAL BUSINESS  
OBJECTS

**BOX PATENT APPLICATION**

Assistant Commissioner  
for Patents  
Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application, Fee Transmittal Sheet, Declaration and Power of Attorney, Assignment and Assignment Cover Sheet, Certificate of Mailing, 2 sheets of Informal Drawings, Nonpublication Request, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 15th day of October, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Willie Jiles  
Willie Jiles

Express Mail Receipt  
No. EL 759103622 US  
Attorney's Docket No.:  
020431.1026

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Chethan (nmi) Gorur, et al.  
Filing Date: October 15, 2001  
Title: CUSTOMIZED STATE MACHINE AND STATE  
AGGREGATION TECHNIQUE FOR PROCESSING  
COLLABORATIVE AND TRANSACTIONAL BUSINESS  
OBJECTS

**BOX: Patent Application**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

**NON-PUBLICATION REQUEST**

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



Christopher W. Kennerly  
Reg. No. 40,675

Please send all correspondence to:

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(214) 953-6812

Date: October 15, 2001